



6-107

TPW

CASE 33739-US-PCT

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE PCT NATIONAL STAGE APPLICATION OF  
HENG ET AL.

INTERNATIONAL APPLICATION NO: PCT/EP2005/004422

FILED: 25 APRIL 2005

U.S. APPLICATION NO: 10/599,819

35 USC §371 DATE: 11 OCTOBER 2006

FOR: COMPOUNDS AS CCRI ANTAGONISTS

**MS: Amendment**

Commissioner for Patents

PO Box 1450

Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants believe this paper is being filed before the mailing date of a first Office action on the merits, and so under 37 C.F.R. §1.97(b)(3) no fees are required. If a fee is deemed to be required, the Commissioner is hereby authorized to charge such fee to Deposit Account No. 19-0134.

In accordance with 37 C.F.R. §1.56, applicants wish to call the Examiner's attention to the references cited on the attached form(s) PTO-1449.

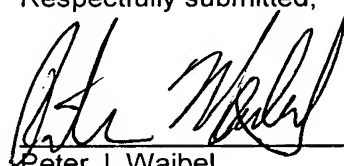
These references were cited in a search report in a corresponding British application. Copies of these references and the search report are enclosed herewith. US 2004/013286 is equivalent to WO 02/100833.

The Examiner is requested to consider the foregoing information in relation to this application and indicate that each reference was considered by returning a copy of the initialed PTO 1449 form(s).

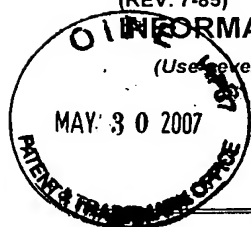
Novartis  
Corporate Intellectual Property  
One Health Plaza, Building 104  
East Hanover, NJ 07936-1080  
(862) 778-7951

Date: 5/30/07

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Peter J. Waibel', is written over a horizontal line.

Peter J. Waibel  
Attorney for Applicants  
Reg. No. 43,228



## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

ATTY. DOCKET NO.  
33739-US-PCT  
APPLICATION NO.  
10/599,819  
APPLICANT  
HENG ET AL.  
FILING DATE  
OCTOBER 11, 2006

Group

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	AA	2004/0138286	7/15/04	Imazaki et al.	514	418	6/6/02
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	OFFICE	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AM	2004/009588	1/29/04	WO			<input type="checkbox"/>	<input type="checkbox"/>
	AN	2002/032901	4/25/02	WO			<input type="checkbox"/>	<input type="checkbox"/>
	AO	2002/100833	12/19/02	WO (Abstract)			<input type="checkbox"/>	<input type="checkbox"/>
	AP						<input type="checkbox"/>	<input type="checkbox"/>
	AQ						<input type="checkbox"/>	<input type="checkbox"/>

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent pages, Etc.)

	AR	
	AS	
	AT	

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial of reference considered, whether or not citation is in conformance with MPEP 609: Draw a line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.